

National Health and Medical Research Council

**ENABLING GRANT FUNDING SCHEME
SECOND, THIRD AND FOURTH ANNUAL PROGRESS REPORT**

- In accordance with the NHMRC Deed of Agreement, a Progress Report must be submitted by the date advised by the NHMRC for each calendar year in which there were payments or a carry-forward of funds, except the final year when the Final Report form must be used.
- Progress Reports enable the NHMRC to assess whether satisfactory progress is being achieved.
- Please complete the survey at Section F for all funded projects to assist the NHMRC address National Research Priorities.
- All entries must be printed or typed.
- The report must be signed by the Chief Investigator A on behalf of all investigators and by the Institution’s Responsible Officer.
- The signed original is to be submitted to the NHMRC at the following address:

NHMRC Progress Reports
Research Funding Schemes
Centre for Research Management
National Health and Medical Research Council

Mail: MDP33
GPO Box 1421
Canberra ACT 2601

Courier: Level 5, 20 Allara Street
Canberra City, ACT 2601

Address for electronic submission of completed form: enabling@nhmrc.gov.au

Note 1: An electronic version of completed form should be provided in addition to the original posted copy.

Note 2: Every page of attachment to this report should be dated, initialled by the CIA and clearly identified by the NHMRC Application ID number, Section of the report and corresponding question number (ie: 28 September 2006;.....(initial); ID: 354358; SECTION C, 3.1)

SECTION A – Administration

Grant Type	
NHMRC Application ID	
Administering Institution	
Chief Investigator A	
Project Title	
Year Funding Commenced	

SECTION B – Overall Progress (No More Than One Page)

For this Section, enter only information that is additional/different to the answers provided in Section B of the previous annual report(s)

1. Please summarise the original objectives of the funded facility/activity (as specified in the original application)

2. Indicate the progress to date in achieving facility/activity milestones and performance indicators (as specified in the original application).

3. Have the time-lines for the facility/activity changed? If yes please provide details.

4. Has the direction of the facility/activity changed (from that specified in the original application)? If so how?

5. If satisfactory progress towards achieving the facility/activity milestones and performance indicators has not been achieved, please explain why this has occurred and how the issues are being addressed.

SECTION C – Governance and Access

The following questions are intended to assess compliance with the *NHMRC Enabling Grant Access to Facilities Policy* (available at www.nhmrc.gov.au/funding/apply/granttype/enable/index.html)

For this Section, enter only information that is additional/different to the answers provided in Section C of the previous annual report(s)

1. General

1.1 Has an application form for access to the facilities/activities been developed and made available electronically?

YES/NO

If YES, please provide details:

If NO, specify when the application form might be developed and made available electronically

1.2 Has a clear and transparent access policy which enables equitable access for all Australian researchers been put in place?

YES/NO

If YES, is this Access Policy readily available (including on the facility/activity internet site)? Please attach the facility/activity Access Policy to this report.

If NO, when might the Access Policy be available?

1.3 What rates (in AUD) apply to internal and external users of the facilities?

1.4 Have any limitations been placed on access (ie: limits on the type or amount of access)?

1.5 Is the facility open to access from international or commercial researchers?

YES/NO

If YES, what arrangements are in place to ensure that international or commercial access does not in any way compromise the access of Australian researchers?

SECTION C

2. Management Committee

2.1 Has an appropriately constituted management committee been established to oversee applications for access to the facility/activity?

YES/NO

If YES:

(i) List names and titles/functions of committee members?

(ii) Which members are independent from the day to day running of the facilities/activities?

(iii) What procedures are in place to manage potential conflicts of interest?

If NO, please indicate when this will happen.

2.2 Has an independent dispute resolution or appeals process been established to handle any dispute that might arise over access to the facility/activity?

YES/NO

If YES, please provide details:

If NO, please provide an approximate date for its establishment.

3. Governance

3.1 Has an independent body (an Advisory Board or similar structure) been put in place to oversee the facility/activity?

YES/NO

If YES:

(i) List names and titles/functions of the Broad members.

(ii) What are the terms of reference of the Advisory Board?

If NO, indicate the date for establishment of an independent body.

Note: Answer to this question should be supported by flowchart of governance structure.

SECTION C

4. Acknowledgement

4.1 Are researchers accessing the facility required to agree to acknowledge in their published work the contribution of the facility to research?

YES/NO

Please provide details including the list of facility users:

4.2 Has suggested acknowledgement wording been developed for use by such researchers?

YES/NO

If YES, what is the acknowledgement wording?

4.3 Is a policy in place as to whether any Chief Investigators associated with this grant will be entitled to authorship of research arising from the use of the facilities or activities?

YES/NO

If YES, please provide details including a list of all journal articles:

4.4 Has NHMRC funding of the activity/facility been recognised in promotional material and on the activity/facilities' internet site?

YES/NO

If YES, please provide details:

SECTION C

5. Ethics

5.1 Please describe procedures that are in place to ensure that researchers using the facility have obtained ethics approval for the research?

6. Consumer Representation

6.1 Please provide details on consumer representative involvement in the facility/activity.

SECTION D – Performance Measures and Outcomes

For this Section, enter only information that is additional/different to the answers provided in Section D of the previous annual report(s)

1. Please list all publications arising from research enabled by the facility/activity:

1.1 Books, Book Chapters, Journal Articles, Conference Publications

1.2 In press (articles in newspapers, magazines)

2. Please list all educational activities undertaken by the facility/activity and provide details of where, when and with whom.

3. Please list facility/activity collaborations with industry that may result in future commercial outcomes

4. Please provide details of research/researchers who have utilized facility/activity over the last 12 months. Where the facility/activity has been utilised by NHMRC funded researchers, please provide grant ID number and title.

5. Please list any other grants (other than NHMRC) that were enabled by this facility/activity.

6. Please list and describe any other performance measures and outcomes that are applicable to the facility/activity

SECTION E – Stakeholder Satisfaction

For this Section, enter only information that is additional/different to the answers provided in Section E of the previous annual report(s)

1. Please describe mechanisms that are in place to monitor the level of stakeholder satisfaction with the activity/facility?

2. Please provide a summary of any feedback received from stakeholders (including accessing researchers) on their level of satisfaction with the activity/facility.

3. Has a survey of stakeholder satisfaction been conducted? If so, please provide a summary of the results.

YES/NO

If YES, please provide a summary of the results.

If NO, please indicate the approximate date for the anticipated survey.

SECTION F – Viability and Future Planing

For this Section, enter only information that is additional/different to the answers provided in Section F of the previous annual report(s)

1. What is the projected life-span/relevance of the facility/activity?

If more than 5 years, describe the prospects/plans for financial viability beyond the 5 year Enabling Grant.

2. If full sustainability is not an option and the facility is expected to be of relevance to the national research effort beyond the 5 year funding period, do you anticipate applying for a subsequent Enabling Grant (after cessation of the current Enabling Grant)? If so, at what level of funding? Please provide explanatory details.

Important Note:

The NHMRC reaffirms that Enabling Grants are for 5 years and that refunding for subsequent 5 year periods is not automatic. The NHMRC recognises that some facilities/activities have the ability to either co-fund or become relatively self-sufficient whilst others may not. In the context of limited funds, the NHMRC wishes to encourage the former whilst recognising the reality of the latter. The provided information will not be used as part of the assessment of refunding but is important both as a 'performance indicator' and for future planning/forward projection.

3. Indicate whether the project attracted funding additional to the NHMRC grant.

YES/NO

If YES, please provide details for the last 12 months:

4. Declare number of employees (including name of occupied position) funded from this grant for the last 12 months:

SECTION G – Feedback by Investigators

Please provide any comments that you may wish to pass to the NHMRC

SECTION H - Certification

I certify that:

1. all Chief Investigators agree that this report is an accurate representation of the progress to date of the funded project; and
2. relevant Institutional Approvals have been maintained to date in accordance Clause 2.2 of the Deed of Agreement.

<p>Name of Chief Investigator A:</p> <p>Signature of Chief Investigator A</p> <p>.....</p>	<p>Date</p> <p>...../...../.....</p>
<p>Name of Head of Department or equivalent:</p> <p><i>Signature of Head of Department or equivalent</i></p> <p>.....</p>	<p>Date</p> <p>...../...../.....</p>
<p>Name of Responsible Officer or delegate:</p> <p><i>Signature of Responsible Officer or delegate</i></p> <p>.....</p>	<p>Date</p> <p>...../...../.....</p>
<p>Contact phone number of Responsible Officer or delegate</p>	

SECTION I – Survey Of All Funded Projects Commencing In 2002

On 5 December 2002 the Prime Minister announced four national research priorities and their associated priority goals. Detailed descriptions of the research priorities can be found at http://www.dest.gov.au/priorities/goals_summary.htm.

Commonwealth agencies such as the NHMRC will be developing plans outlining how they propose to implement national research priorities and will submit them to Government by May 2003. Completing this survey will assist the NHMRC advise the Government on the best way to proceed to implement research priorities.

Please indicate in the following table if your research project addresses any of the following national research priorities, by entering a percentage of research relevant to a priority. The total percentage should not exceed 100% but may be less. If no priority is relevant tick the box for no applicable priority.

NATIONAL RESEARCH PRIORITIES	Percentage of research relevant to priority
AN ENVIRONMENTALLY SUSTAINABLE AUSTRALIA - <i>Transforming the way we use our land, water, mineral and energy resources through a better understanding of environmental systems and using new technologies</i> Water – a critical resource Transforming existing industries Overcoming soil loss, salinity and acidity Reducing and capturing emissions in transport and energy generation Sustainable use of Australia’s biodiversity Developing deep earth resources	
PROMOTING AND MAINTAINING GOOD HEALTH - Promoting good health and preventing disease, particularly among young and older Australians A healthy start to life Ageing well, ageing productively Preventive healthcare	
FRONTIER TECHNOLOGIES FOR BUILDING AND TRANSFORMING AUSTRALIAN INDUSTRIES - <i>Stimulating the growth of world-class Australian industries using innovative technologies developed from cutting-edge research</i> Breakthrough science Frontier technologies Advanced materials Smart information use	
SAFEGUARDING AUSTRALIA – <i>Safeguarding Australia from terrorism, crime, invasive diseases and pests, and securing our infrastructure, particularly with respect to our digital systems</i> Critical Infrastructure Protecting Australia From Invasive diseases and pests Protecting Australia from terrorism and crime Transformational defence technologies	
NO APPLICABLE PRIORITY	